APPENDIX 4. REMOTELY PILOTED AIRCRAFT SYSTEMS
(Note.– See Chapter 3, 3.1.9 of the Annex)

Note.– Circ 328, Unmanned Aircraft Systems (UAS) contains explanatory information related to remotely piloted aircraft systems.

1. General operating rules

1.1 A remotely piloted aircraft system (RPAS) engaged in international air navigation shall not be operated without appropriate authorization from the State from which the take-off of the remotely piloted aircraft (RPA) is made.

1.2 An RPA shall not be operated across the territory of another State, without special authorization issued by each State in which the flight is to operate. This authorization may be in the form of agreements between the States involved.

1.3 An RPA shall not be operated over the high seas without prior coordination with the appropriate ATS authority.

1.4 The authorization and coordination referred to in 1.2 and 1.3 shall be obtained prior to take-off if there is reasonable expectation, when planning the operation, that the aircraft may enter the airspace concerned.

1.5 An RPAS shall be operated in accordance with conditions specified by the State of Registry, the State of the Operator if different and the State(s) in which the flight is to operate.

1.6 Flight plans shall be submitted in accordance with Chapter 3 of this Annex or as otherwise mandated by the State(s) in which the flight is to operate.

1.7 RPAS shall meet the performance and equipment carriage requirements for the specific airspace in which the flight is to operate.

2. Certificates and licensing

Note 1.— Assembly Resolution A37-15 Appendix G resolves that pending the coming into force of international Standards respecting particular categories, classes or types of aircraft, certificates issued or rendered valid, under national regulations, by the Contracting State in which the aircraft is registered shall be recognized by other Contracting States for the purposes of flight over their territories, including landings and take-offs.

Note 2.— Certification and licensing Standards are not yet developed. Thus, in the meantime, any certification and licensing need not be automatically deemed to comply with the SARPs of the related Annexes, including Annexes 1, 6 and 8, until such time as the related RPAS SARPs are developed.

Note 3.— Notwithstanding the Assembly Resolution A37-15, Article 8 of the Chicago Convention assures each Contracting State of the absolute sovereignty over the authorization for RPA operation over its territory.
2.1 An RPAS shall be approved, taking into account the interdependencies of the components, in accordance with national regulations and in a manner that is consistent with the provisions of related Annexes. In addition:

   a) RPA shall have a certificate of airworthiness issued in accordance with national regulations and in a manner that is consistent with the provisions of Annex 8; and

   b) the associated RPAS components specified in the type design shall be certificated and maintained in accordance with national regulations and in a manner that is consistent with the provisions of related Annexes.

2.2 An operator shall have an RPAS operator certificate issued in accordance with national regulations and in a manner that is consistent with the provisions of Annex 6.

2.3 Remote pilots shall be licensed or have their licences rendered valid, in accordance with national regulations and in a manner that is consistent with the provisions of Annex 1.

3. Request for authorization

3.1 The request for authorization referred to in 1.2 above shall be made to the appropriate authorities of the State(s) in which the RPA will operate not less than seven days before the date of the intended flight unless otherwise specified by the State.

3.2 Unless otherwise specified by the State(s), the request for authorization shall include the following:

   a) name and contact information of the operator;

   b) RPA characteristics (type of aircraft, maximum certificated take-off mass, number of engines, wing span);

   c) copy of certificate of registration;

   d) aircraft identification to be used in radiotelephony, if applicable;

   e) copy of the certificate of airworthiness;

   f) copy of the RPAS operator certificate;

   g) copy of the remote pilot(s) licence;

   h) copy of the aircraft radio station licence, if applicable;

   i) description of the intended operation (to include type of operation or purpose), flight rules, visual line-of-sight (VLOS) operation if applicable, date of intended flight(s), point of departure, destination, cruising speed(s), cruising level(s), route to be followed, duration/frequency of flight;

   j) take-off and landing requirements;

   k) RPA performance characteristics, including:
1) operating speeds;

2) typical and maximum climb rates;

3) typical and maximum descent rates;

4) typical and maximum turn rates;

5) other relevant performance data (e.g. limitations regarding wind, icing, precipitation); and

6) maximum aircraft endurance;

l) communications, navigation and surveillance capabilities:

1) aeronautical safety communications frequencies and equipment, including:
   i) ATC communications, including any alternate means of communication;
   ii) command and control links (C2) including performance parameters and designated operational coverage area;
   iii) communications between remote pilot and RPA observer, if applicable;

2) navigation equipment; and

3) surveillance equipment (e.g. SSR transponder, ADS-B out);

m) detect and avoid capabilities;

n) emergency procedures, including:

   1) communications failure with ATC;

   2) C2 failure; and

   3) remote pilot/RPA observer communications failure, if applicable;

o) number and location of remote pilot stations as well as handover procedures between remote pilot stations, if applicable;

p) document attesting noise certification that is consistent with the provisions of Annex 16, Volume 1, if applicable;

q) confirmation of compliance with national security standards in a manner that is consistent with the provisions of Annex 17, to include security measures relevant to the RPAS operation, as appropriate;

r) payload information/description; and

s) proof of adequate insurance/liability coverage.
3.3 When certificates or other documents identified in 3.2 above are issued in a language other than English, an English translation shall be included.

3.4 After authorization has been obtained from the appropriate State(s), air traffic services notification and coordination shall be completed in accordance with the requirements of the State(s).

   Note.—A request for authorization does not satisfy the requirement to file a flight plan with the air traffic services units.

3.5 Changes to the authorization shall be submitted for consideration to the appropriate State(s). If the changes are approved, all affected authorities shall be notified by the operator.

3.6 In the event of a flight cancellation the operator or remote pilot shall notify all appropriate authorities as soon as possible.

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APPENDIX 4§. UNMANNED FREE BALLOONS
(Note.—See Chapter 3, 3.1.9 of the Annex)

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